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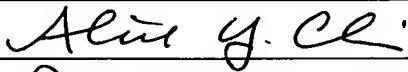
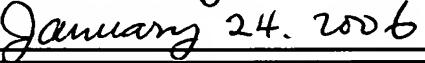
(to be used for all correspondence after initial filing)

		Application Number	10/804,629
		Filing Date	March 19, 2004
		First Named Inventor	Lucey
		Group Art Unit	1621
		Examiner Name	To Be Assigned
Total Number of Pages in This Submission	6 pages	Attorney Docket Number	19226/2291 (R-5824, R-5875, R-5881)

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> A copy of the Notice to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures <input checked="" type="checkbox"/> A self-addressed, prepaid postcard for acknowledging receipt <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <input checked="" type="checkbox"/> PTO/SB/08A Form (in duplicate) <input checked="" type="checkbox"/> Copy of International Search Report
Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 14-1138 for the above identified docket number.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Alice Y. Choi Nixon Peabody LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051 Telephone: (585) 263-1508 Fax: (585) 263-1600	
Signature		
Date	Registration No. 45,758 	

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

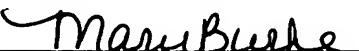
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1/24/2006

Date



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Mary Burke

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PATENT
Docket No.: 19226/2291 (R-5824, R-5875, R-5881)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lucey et al.)
Serial No. : 10/804,629)
Cnfrm. No. : 7869)
Filed : March 19, 2004)
For : PROCESS FOR PRODUCING)
SEMICONDUCTOR NANOCRYSTAL CORES,)
CORE-SHELL, CORE-BUFFER-SHELL, AND)
MULTIPLE LAYER SYSTEMS IN A NON-)
COORDINATING SOLVENT UTILIZING IN)
SITU SURFACTANT GENERATION)

Examiner:
To Be Assigned

Art Unit:
1621

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97-1.98

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the references listed on the attached PTO/SB/08A form.

The pertinence of these references is indicated in the International Search Report (copy enclosed) for the corresponding PCT patent application. The other reference listed in the accompanying search report is already of record and, therefore, not listed on the attached PTO/SB/08A form. Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), copies of the cited U.S. patent and U.S. patent application publication (i.e., Reference Cite Nos. 1-2) are not enclosed.

Pursuant to 37 CFR § 1.97(b)(3), no fee is required. Should it be determined that a fee is required, however, the Commissioner is authorized to charge that fee to Deposit Account No. 14-1138.

Respectfully submitted,

Date: January 24, 2006

Alice Y. Choi

Alice Y. Choi
Registration No. 45,758

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Substitute Transmittal 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/804,629
Sheet	1	of	1	Filing Date	March 19, 2004
				First Named Inventor	Lucey
				Art Unit	1621
				Examiner Name	To Be Assigned
				Attorney Docket Number	19226/2291 (R-5824, R-5875, R-5881)

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (<i>if known</i>)			
1	US-2002/0066401 A1	06-06-2002	Peng et al.		
2	US-6,911,082	06-28-2005	Sato et al.		
US-					

FOREIGN PATENT DOCUMENTS					
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		Kind Code ³ Country Code ³ Number ⁴ (<i>if known</i>)			

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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